

BWC's Approach to Reimbursement for Health and Behavior Assessment and Intervention (HBAI) Services

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HBAI Services: Objectives

- Reintroduce HBAI Services to the Ohio Workers' Compensation Provider Community
- Identify Key HBAI Services Actions
- Educate on Key Components of HBAI Services
- Provide Important Details to Remember When Requesting HBAI Services

HBAI Services: Common Questions

1. What are HBAI services?
2. Why are HBAI services important?
3. What are potential behavioral barriers to healing?
4. What has BWC done about it?
5. How does this impact you as a provider?
6. What services are necessary and appropriate for HBAI?
7. What services are not considered part of HBAI?
8. How does one bill and code appropriately?
9. How will BWC measure the successful implementation of HBAI?

HBAI Services: Definition

- The Current Procedural Terminology (CPT) manual states the focus of HBAI is NOT on mental issues, but on factors important to physical health problems and treatments.
- HBAI procedures and treatment protocols appropriately assess and identify cognitive, emotional, social, behavioral and psychological barriers that might impact physical health problems and treatments.

HBAI Services: Important Aspects

- HBAI offers another clinical tool for treatment of work injuries.
- There is evidence that these services can improve outcomes.

HBAI Services: BWC Key Actions

- Payment for Lumbar Fusion Surgery Rule (OAC 4123-6-32)
- Professional Provider Fee Schedule Rule (OAC 4123-6-08)
- HBAI Policy
- HBAI Rule (OAC 4123-6-33)

HBAI Services: Behavioral Barriers

Any identified factor that:

- Prevents the injured worker from adhering to treatment protocols;
- Causes the injured worker to act in a manner that *undermines the medical management and/or healing of an injured worker's allowed physical injuries*;
- Prevents the injured worker from effectively participating in the healing of the injured worker's allowed physical injury.

HBAI Services: Behavioral Barriers

Inadequate coping skills;

Fear of movement or re-injury;

Perceptions of injustice;

Catastrophic thinking.

HBAI Services: Injured Worker's Eligibility

Eligibility shall be considered if the injured worker's physician of record (POR) determines:

- Injured worker is not progressing with his or her injury after an initial course of treatment;
- Healing appears to be delayed due to behavioral barriers;
- Injured worker has the capacity to understand and respond meaningfully during a face-to-face encounter.

HBAI Services: Initial Course of Treatment

- It's a generally accepted standard clinical approach to addressing a physical injury.
- The clinical approach may vary depending on the nature of the physical injury.
- Generally result from the informed medical opinion gained from an examination and/or other appropriate clinical evaluations (i.e., MRI, X-ray etc.).

HBAI Services: Providers

- Any provider who, as defined by their board, has HBAI within their professional scope of practice
 - Doctor of Medicine (M.D.)
 - Doctor of Osteopathic Medicine or Surgery (DO)
 - Doctor of Chiropractic (DC)
 - Certified Nurse Practitioner (CNP)/Clinical Nurse Specialist (CNS)
 - Certified Rehab Counselor (CRC)
 - Occupational Therapist (OT)
 - Physician Assistant (PA)
 - Psychologist (Ph.D. or Psy.D.)
 - Licensed Social Worker/Licensed Professional Counselor

HBAI Services: The Assessment

- Identify factors important to the prevention, treatment, and/or management of physical- health problems:
 - Behavioral;
 - Emotional;
 - Cognitive;
 - Social.
- The assessment's focus is *not* on mental health, but on the biopsychosocial factors important to physical health problems and treatments.

HBAI Services: Requesting an Assessment

- By a Physician of Record (POR)
- Request for Medical Service Reimbursement or Recommendation for Additional Conditions for Industrial Injury or Occupational Disease (C-9)
- Submit it to Managed Care Organization (MCO)
- Documentation supporting the request:
 - History of the physical injury resulting in the allowed condition(s);
 - Recognized behavioral barriers impeding recovery;
 - Initial course of treatment including diagnostic studies and results.

HBAI Services: Common Assessment Screening Tools

- Fear Avoidance Belief Questionnaire

www.advancedpracticept.com/docs/fabq.pdf

- The Keele STarT Back Questionnaire

www.myoptumhealthphysicalhealth.com/documents/Forms/SBST.pdf

- Screener and Opioid Assessment for Patients with Pain

<https://cabhp.asu.edu/sites/default/files/reiter-screening.pdf>

- World Health Organization Disability Assessment Schedule

http://www.who.int/classifications/icf/WHODAS2.0_36itemsS-ELF.pdf

HBAI Services: Assessment Documentation

- POR receives written summary report.
- Report contents:
 - History of industrial injury resulting in allowed condition(s) in the claim;
 - Overview of treatment and diagnostic results to date;
 - Use of one or more currently accepted validated screening tools;
 - Identification and/or validation of existence of behavioral barriers;
 - Statement indicating healing or recovery is impeded by these barriers;
 - Recommended possible intervention services, goals to address barriers, and expected duration;
 - Length of assessment.

HBAI Services: Interventions

- These services focus on improving the patient's health and well-being by using cognitive, behavioral, social, and/or psychophysiological procedures designed to ameliorate specific disease-related problems.
- These services do not represent preventive medicine counseling and risk factor reduction interventions.

HBAI Services: Requesting Intervention Services

- By a Physician of Record (POR)
- Request for Medical Service Reimbursement or Recommendation for Additional Conditions for Industrial Injury or Occupational Disease (C-9)
- Submit it to Managed Care Organization (MCO)
- Documentation should include:
 - Recent assessment report with rationale for intervention services;
 - Recommendations for frequency, duration, and goals.

HBAI Services: Providing Intervention Services

- Limited to coaching and counseling services.
- Address the behavioral barriers identified or validated in the assessment.
- Documentation should include:
 - Goals;
 - Progress, or lack thereof, toward goals and objectives;
 - Description of injured worker engagement;
 - Time in and time out.

HBAI Services: Intervention Services

- **Coaching:** Facilitates moving the injured worker beyond his/her current state by ***assisting*** him/her after understanding the impact of identified behaviors ***to establish action goals*** designed to improve healing and function of the injured worker's allowed work injury.
- **Counseling:** Facilitates moving the injured worker beyond his/her current state by ***providing actionable guidance*** to the injured worker.

HBAI Services: Not Considered Intervention Services

- **Preventive medicine counseling and risk factor reduction interventions.**
 - **Preventive medicine counseling examples:**
 - Personal, social, recreational, and general support services;
 - Maintain the patient's or family's existing health and overall well being.
 - **Risk factor reduction examples:**
 - Tobacco or caffeine withdrawal support;
 - Weight-loss management.

HBAI Services: Limits

- Assessment and Reassessment
 - **One assessment** and/or **reassessment** may be approved per twelve month period.
 - Exception as required per OAC 4123-6-32 (Payment for Lumbar Fusion Surgery).
- Intervention
 - Limited to **six** hours per twelve month period.
 - Additional services may be approved during the twelve month period, if medically necessary.
- Intervention services **may not** be provided by the same provider who performed the assessment.
- Intervention services can be provided by a provider who is a member of the same group practice of the provider who performed the assessment.

HBAI Services: Billing Protocols

- Indicate appropriate International Classification of Diseases (ICD), clinical modification codes for the injured worker's allowed physical condition.
- Use applicable codes from Medicare and Medicaid services' healthcare common procedure coding system (HCPCS).
- Providers who are eligible to bill evaluation and management (E&M) codes **must** use E&M codes when billing HBAI services.
- Provider types who are **not** eligible to bill E&M codes **must** use the applicable HBAI service codes.

HBAI Services: Billing Protocols

- The following providers must use the appropriate E&M CPT codes (99201-99205 and 99211-99215) for reimbursement for health and behavior assessment and intervention services:
 - Doctor of Medicine;
 - Doctor of Osteopathic Medicine or Surgery;
 - Doctor of Chiropractic;
 - Certified Nurse Practitioner;
 - Clinical Nurse Specialist;
 - Physician Assistants.

HBAI Services: Billing Protocols

- The following providers must use health and behavior CPT codes (96150-96160) for reimbursement for health and behavior assessment and intervention services:
 - Psychologist;
 - Licensed Social Worker;
 - Licensed Independent Social Worker;
 - Licensed Professional Counselor;
 - Licensed Professional Clinical Counselor;
 - Clinical Rehabilitation Counselor;
 - Occupational Therapist.

HBAI Services: Billing Protocols

96150 - Health and behavior assessment, each 15 minutes face-to-face with the patient; initial assessment

96151 - Health and behavior assessment, each 15 minutes face-to-face with the patient; reassessment

96152 - Health and behavior intervention; each 15 minutes, face-to-face; individual

(American Medical Association CPT® 2018 Professional)

HBAI Services: Billing Protocols

CPT codes	Description	Non-facility
96150	Initial assessment	\$30.59 per unit
96151	Re-assessment	\$29.58 per unit
96152	Intervention services	\$28.10 per unit
99212	E&M code established patient	\$59.49
99213	E & M code established patient	\$100.34
99214	E & M code established patient	\$147.87
99215	E & M code established patient	\$199.56

HBAI Services: Things to Remember

- Know what HBAI services are and how to apply them in practice.
- Understand who can provide these services.
- Recognize what are the potential barriers to recovery.
- Understand the documentation requirements for HBAI.
- Understand how to bill these codes (“99” E/M codes v. “96” HBAI codes).

HBAI Services: Questions

Send your questions to:

feedback.medical@bwc.state.oh.us

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HBAI Services: Non-covered Services

- Family psychotherapy or mediation
- Personal, social, recreational, and general support services
- Maintain the patient's or family's existing health and overall well being
- Individual social activities
- Teaching social interaction skills
- Tobacco or caffeine withdrawal support
- Teaching the patient simple self-care
- Socialization in a group setting
- General conversation
- Vocational or religious advice
- Activity principally for diversion

HBAI Services: Non-covered Services

- Exercise programs
- Weight-loss management
- Maintenance of behavioral logs
- Updating or educating family about the injured worker's condition
- Educating non-immediate family members, non-primary caregivers, non-guardians, the non-health care proxy, and other members of the treatment team, e.g., health aides, nurses, physical or occupational therapists, home health aides, personal care attendants and co-workers about the injured worker's care plan

HBAI Services: Non-covered Services

- Treatment planning with staff
- Education of diabetic patients and their family members
- Medical nutrition therapy
- Maintaining the injured worker's or family's existing health and overall well-being
- Provision of support services, not requiring the skills of a mental health provider
- Provision of personal, social, recreational, and general support services
- Stress management

HBAI Services: Non-covered Services

- Craft-skill training
- Cooking classes
- Comfort-care services
- Social activities
- Consciousness raising
- General educational activities
- Sensory stimulation
- Games
- Grooming skills or services
- Monitoring activities of daily living
- Teaching self-care
- Memory enhancement training
- Case management